

Customer No. 28880

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/672,949
Filing Date	September 26, 2003
First Named Inventor	Jamie Davis
Art Unit	
Examiner Name	
Attorney Docket Number	PC 25413A

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks _____		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Karen DeBenedictis
Reg. No.	32,977
Signature	<i>Karen DeBenedictis</i>
Date	11/26/03

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date 11-26-03

Typed or printed	Cindy Malocha
Signature	<i>Cindy Malocha</i>
Date	11-26-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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USPTO Fax No.



PC25413A

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : JAMIE DAVIS, ET AL. EXAMINER :  
SERIAL NO. : 10/672,949 ART UNIT :  
FILED : SEPTEMBER 26, 2003 PAPER NO :  
FOR : HETEROCYCLIC SUBSTITUTED PIPERAZINES FOR THE  
TREATMENT OF SCHIZOPHRENIA

**INFORMATION DISCLOSURE STATEMENT**

November 25, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby submit copies of patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which there may be a duty to disclose under 37 CFR § 1.56. A list of all enclosed documents is provided in a PTO Form PTO/SB/08A, transmitted herewith.

This information disclosure statement is being filed within three months of the filing date of the above-cited patent application or prior to the mailing of a first Office action on the merits, in accordance with 37 CFR § 1.97(b). Accordingly, Applicants respectfully request that the statement be considered by the Office, in the course of prosecution of the application.

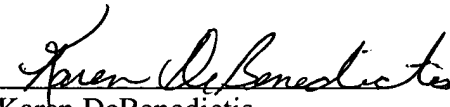
The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR §1.97(g)), an admission that the information cited is or is considered to be, material to patentability, or that no other material information exists.

It is requested that the references listed on the attached form PTO-FB-A820 be included in the "References Cited" portion of any patent issuing from this application (MPEP § 1302.12).

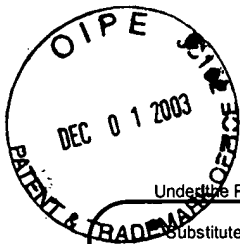
A prompt and favorable response is earnestly solicited.

Respectfully submitted,

Dated: 11/26/03

  
Karen DeBenedictis  
Registration No. 32,977  
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Fax (734) 622-2928

Attachments



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/672,949
Filing Date	September 26, 2003
First Named Inventor	Jamie Davis
Art Unit	
Examiner Name	
Attorney Docket Number	PC25413A

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,350,747	09-27-1994	Howard	
		US- 6,127,357	10-03-2000	Cliffe et al.	
		US- 4,831,031	05-16-1989	Lowe, III et al.	
		US- 5,206,366	04-27-1993	Bowles	
		US- 5,338,846	08-16-1994	Busch et al.	
		US- 5,364,866	11-15-1994	Strupczewski et al.	
		US- 6,001,834	12-14-1999	Strupczewski et al.	
		US- 6,358,978	03-19-2002	Ritzeler et al.	
		US- 5,635,525	06-03-1997	Heitsch et al.	
		US- 5,576,318	11-19-1996	Bietti et al.	
		US- 5,143,923	09-01-1992	Hrib et al.	
		US- 4,957,916	09-18-1990	Kennis et al.	
		US- 5,977,113	11-02-1999	Glamkowski et al.	
		US- 6,346,622	02-12-2002	Lubisch et al.	
		US- 4,925,845	05-15-1990	Mertens et al.	
		US- 6,127,373	10-03-2000	Chappell	
		US- 6,245,766	06-12-2001	Watsky	
		US- 6,387,904	05-14-2002	Howard	
		US- 6,399,777	06-04-2002	Kim et al.	

**FOREIGN PATENT DOCUMENTS**

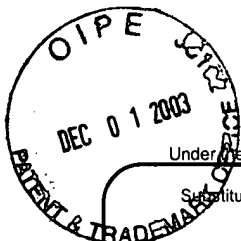
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 93/04684	03-18-1993	Reitz		
		EP 0 402 644	12-19-1990	Strupczewski et al.		
		WO 95/11680	05-04-1995	Strupczewski et al.		
		FR 2761067	09-25-1998	McCort et al.		
		WO 93/16073	08-19-1993	Norman et al.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> F or Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

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Sheet 2 of 2

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First Named Inventor	Jamie Davis
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		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,552,042	04-22-2003	Han et al.	
		US- 5,599,815	02-04-1997	Fukuda et al.	
		US- 5,624,927	04-29-1997	Glamkowski et al.	
		US- 5,663,449	09-02-1997	Strupczewski	
		US- 5,843,949	12-01-1998	Strupczewski et al.	
		US- 5,637,710	06-10-1997	Strupczewski et al.	
		US- 5,552,414	09-03-1996	Strupczewski et al.	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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